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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Jiming Sun

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BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP  
1279 OAKMEAD PARKWAY  
SUNNYVALE, CA 94085-4040

EXAMINER

BURGESS, BARBARA N

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/822,735	<b>Applicant(s)</b> SUN ET AL.	
	<b>Examiner</b> BARBARA N. BURGESS	<b>Art Unit</b> 2457	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 12 January 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-30 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

This Office Action is in response to amendment filed January 12, 2009. Claims 1-30 are presented for further examination.

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-3, 5-7, 11-13, 15-17, 21-23, 25-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herbert (US Patent Application Publication 2002/0136462 A1) in view of Rader (US Patent 6,370,581 B2) and further view of Lincke et al. (hereinafter "Linc", US Patent Publication 2002/0109706 A1).

As per claims 1, 11, and 21, Herbert discloses an apparatus, method, and computer program product comprising:

an encoder to encode data in a first format from an input device into a string of data having a second format supported by a server having an infrastructure, the first format and second format being different (paragraphs [0002, 0006-0007, 0009]);

a management layer coupled to the packetizer to process the packetized string of data using a processing function, the management layer processing a received packet having data encoded in the second format (paragraphs [0012, 0058, 0060]);

a decoder to decode a received packet encoded in the second format back into the data having the first format (paragraphs [0012, 0060-0061]) .

Herbert does not explicitly disclose:

a packetizer coupled to the encoder the packets having at least one packet having a header, the header identifying the first format.

However, in an analogous art, Rader discloses converting a data string from its current format to a UTF-8 format. This string is transmitted across the network with a header containing information to accurately parse the data string (column 6, lines 26-55).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Radar's packetizer in Herbert's apparatus in order to keep track of the message length as the string is being converted.

Herbert, in view of Rader, does not explicitly disclose:

A packetizer to break the string of data into packets no larger than maximum message size allowed by the infrastructure.

However, the use and advantage of breaking strings of data into such packets is well-known to one ordinary skill in the art as evidenced by Linc (paragraphs [0063, 0105, 0397, 0506]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Linc's packetizer in Herbert's apparatus in order to reduce network bandwidth consumption.

As per claims 2, 12, 22, Herbert discloses the apparatus, method, and computer program product of claims 1, 11, 21 wherein the decoder comprises a detector to detect the second format and a converter to convert the string of data back into the data having the first format (paragraph [0012, 0061-0062]).

As per claims 3, 13, 23, Herbert discloses the apparatus, method, and computer program product of claims 1, 11, 21 wherein the at least one packet is transmitted to the sever supporting the second format (paragraphs [0010, 0063]).

As per claims 5, 15, 25, Herbert discloses the apparatus, method, and computer program product of claims 1, 11, 21 wherein the second format is an American Standard Code of Information Interchange (ASCII) format (paragraphs [0058]).

As per claims 6, 16, 26, Herbert, discloses the apparatus, method, and computer program product of claims 1, 11, 21 wherein the data having the first format is ink input data (paragraphs [0002, 0007]).

As per claims 7, 17, 27, Herbert discloses the apparatus, method, and computer program product of claims 6, 16, 26 wherein the ink input data is obtained from is one of a touch-screen, a digitizer, a tablet, and a mouse (paragraphs [0002, 0007]).

3. Claims 4, 10, 14, 20, 24, 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herbert (US Patent Application Publication 2002/0136462 A1) in view of Rader (US Patent 6,370,581 B2) further view of Lincke et al. (hereinafter "Linc", US Patent Publication 2002/0109706 A1) and in further view of Heffner et al. (hereinafter "Heff", US Patent Application Publication 2003/0018558 A1).

As per claims 4, 14, and 24, Herbert, in view of Rader and Lewis, does not explicitly disclose the apparatus, method, and computer program product of claims 3, 13, 23 wherein the network comprises an instant messaging (IM) infrastructure.

However, in an analogous art, Heff teaches instant messaging service to send notification information to the user's screen (paragraph [0257]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Heff IM infrastructure in Herbert's apparatus enabling users to be notified of "buy alerts".

As per claims 10, 20, 30, Herbert, in view of Rader and Linc, does not explicitly disclose the apparatus, method, and computer program product of claims 8, 18, 28 further

comprising an interface layer coupled to the packetizer to process the at least one packet into one of an instant messaging, a chat message, and an email message. However, in an analogous art, Heff teaches instant messaging service to send notification information to the user's screen (paragraph [0257]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Heff IM infrastructure in Herbert's apparatus enabling users to be notified of "buy alerts".

4. Claims 8-9, 18-19, 28-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Herbert (US Patent Application Publication 2002/0136462 A1) in view of Rader (US Patent 6,370,581 B2) further view of Lincke et al. (hereinafter "Linc", US Patent Publication 2002/0109706 A1) and in further view of Lewis et al. (hereinafter "Lewis", US Patent Publication 2001/0053978 A1).

As per claims 8, 18, 28, Herbert discloses an apparatus, method, and computer program product comprising:

an encoder to encode data in a first format from an input device into a string of data having a second format supported by a server having an infrastructure, the first format and second format being different (paragraphs [0002, 0006-0007, 0009]);

a management layer coupled to the packetizer to process the packetized string of data using a processing function, the management layer processing a received packet having data encoded in the second format (paragraphs [0012, 0058, 0060]);

a decoder coupled to the management layer to decode received packet back into the data having the first format (paragraphs [0012, 0060-0061]) .

Herbert does not explicitly disclose:

a packetizer coupled to the encoder to packetize the string of data into at least one packet having a header, the header identifying the first format.

However, in an analogous art, Rader discloses converting a data string from its current format to a UTF-8 format. This string is transmitted across the network with a header containing information to accurately parse the data string (column 6, lines 26-55).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Radar's packetizer in Herbert's apparatus in order to keep track of the message length as the string is being converted. Herbert, in view of Rader, does not explicitly disclose:

A packetizer to break the string of data into packets no larger than maximum message size allowed by the infrastructure.

However, the use and advantage of breaking strings of data into such packets is well-known to one ordinary skill in the art as evidenced by Linc (paragraphs [0063, 0105, 0397, 0506]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Linc's packetizer in Herbert's apparatus in order to reduce network bandwidth consumption.

Herbert, in view of Rader and Linc, does not explicitly disclose:

the processing function being enabled or disabled using a configuration user interface.

However, in an analogous art, Lewis discloses the user selecting one or more constraints used to decode special data. If selected, the constraint is enabled to decode special data and modify default recognition parameters (Abstract, paragraphs [0009]).

Therefore, one of ordinary skill in the art at the time the invention was made would have found it obvious to implement or incorporate Lewis's processing function being enabled or disabled using a configuration user interface in Herbert's apparatus in order to decode special data.

As per claims 9, 19, 29, Herbert discloses the apparatus, method, and computer program product of claims 8, 18, 28 wherein the processing function is one of smoothing (paragraph [0021, 0061, 0063]).

### ***Response to Arguments***

5. Applicant's arguments filed have been fully considered but they are not persuasive.

#### **The Office notes the following argument(s):**

(a) In Herbert, the encoding is performed on the second derivatives. The encoding is therefore not performed on the data from an input device, but on the second derivative.

(b) In Radar, converting does not break the string into packets no larger than maximum message size allowed by the infrastructure.

(c) There is no infrastructure that allows a maximum message size.

**In response to:**

(a) Herbert teaches a conventional pen-enabled computing system utilizing handwriting input translating from the user's handwriting to text form in order for the handwriting input to be utilized. The data is translated (encoded) from the handwriting form to a text form. Herbert further teaches encoding a set of electronic ink data points that make up the handwriting. Each point of the writing stylus with respect to the electronic handwriting tablet is encoded using an encoding algorithm. Each stroke of the handwriting is given a data point that is subsequently encoded. The handwritten information may be incorporated into messages and converted (encoded) into text by character recognition. The resulting text is then incorporated into messages (paragraphs [0006, 0009, 0021, 0024]).

Therefore, Herbert indeed discloses encoding performed on the data from an input device.

(b)-(c) Radar is not cited for teaching this limitation.

Lincke teaches an infrastructure in which latency generally causes packets to be kept small. In an infrastructure having a low cost network, the maximum packet size is 512 bytes. Formatting must be done for certain communication device screens to display text. Webpages are compressed in order to be viewed (paragraphs [0063, 0105-0107, 0110, 0397]).

Therefore, Lincke undoubtedly discloses breaking the string into packets no larger than maximum message size allowed by the infrastructure.

### ***Conclusion***

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BARBARA N. BURGESS whose telephone number is (571)272-3996. The examiner can normally be reached on M-F (8:00am-4:00pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (571) 272-4001. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Barbara N Burgess/  
Examiner, Art Unit 2457

Barbara N Burgess  
Examiner  
Art Unit 2457

March 26, 2009

/ARIO ETIENNE/  
Supervisory Patent Examiner, Art Unit 2457